

# Improving Brooks' theorem

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- 3 The Ore-degree
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# A prison problem

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## A prison problem

*You are a warden in a prison with five large cells. You need to put all the inmates into the cells, but to prevent fighting you cannot put a pair of inmates that have fought before into the same cell. Each inmate in the prison has fought with at most six other inmates and none of the inmates who have fought with six others have fought with each other. Under what conditions can you complete your task?*

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- plainly, if there is a group of six inmates who have all fought one another, then you cannot complete your task
- is this simple necessary condition sufficient?

## Greedy coloring

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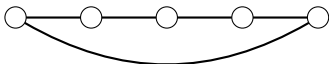
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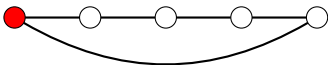
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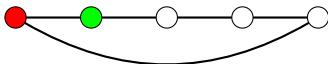
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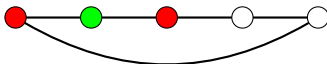
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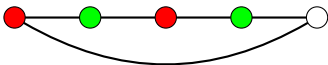
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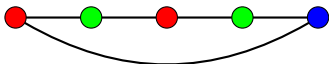
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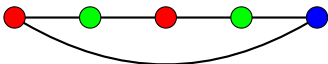
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For example, say  $C := \{\text{red, green, blue, cyan, } \dots\}$  and  $G$  is the 5-cycle:



- if  $G$  has maximum degree  $k$ , then  $v_i$  has at most  $k$  colored neighbors, so greedy coloring uses at most  $k + 1$  colors

# Brooks' theorem

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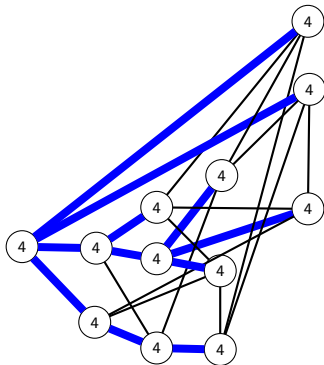
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### Theorem (Brooks 1941)

*Every graph with  $\Delta \geq 3$  satisfies  $\chi \leq \max\{\omega, \Delta\}$ .*

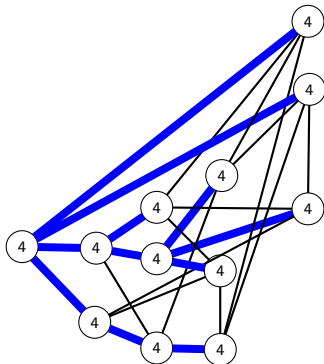
## Proof sketch

Any incomplete 2-connected graph with  $\Delta \geq 3$  has a spanning tree where the root has two nonadjacent leaves as neighbors.



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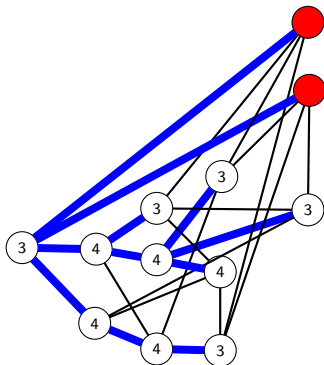
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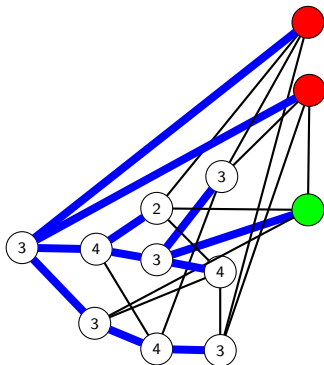
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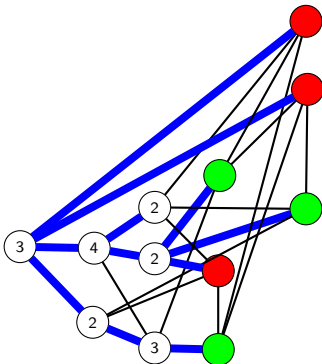
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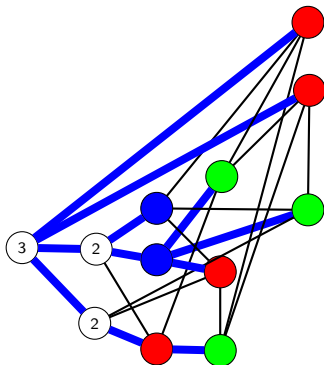


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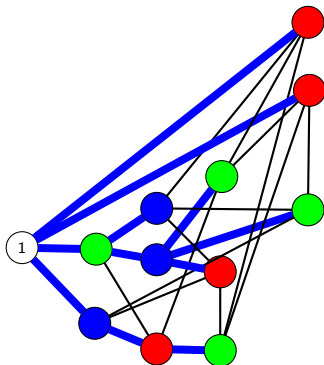
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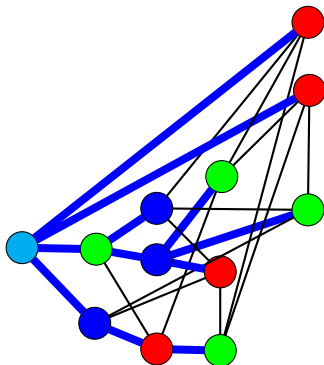
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# The Ore-degree

## Definition

The *Ore-degree* of an edge  $xy$  in a graph  $G$  is

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- every graph satisfies  $\lfloor \frac{\theta}{2} \rfloor \leq \Delta$
- greedy coloring (in any order) shows that every graph satisfies  $\chi \leq \lfloor \frac{\theta}{2} \rfloor + 1$

# Kierstead and Kostochka's generalization

## Theorem (Kierstead and Kostochka 2009)

*Every graph with  $\theta \geq 12$  satisfies  $\chi \leq \max \left\{ \omega, \lfloor \frac{\theta}{2} \rfloor \right\}$ .*

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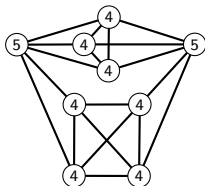


Figure:  $O_5$ , a counterexample with  $\theta = 9$ .

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## Problem

*Prove that  $K_{\Delta(G)+1}$  is the only vertex critical graph  $G$  with  $\chi(G) \geq \Delta(G) \geq 6$  such that  $\mathcal{H}(G)$  is edgeless.*

# Kierstead and Kostochka's proof

- the proof is high-tech and clean, it uses both of the following

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- unfortunately, it only works for  $\Delta \geq 7$

To get down to  $\Delta = 6$ , go low-tech and get dirty.

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## Theorem (Rabern 2010)

$K_{\Delta(G)+1}$  is the only vertex critical graph  $G$  with  
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- setting  $\omega(\mathcal{H}(G)) = 1$  proves Kierstead and Kostochka's conjecture
- equivalently, as long as there is no group of six inmates who have all fought one another, you (the warden) can complete your inmate-cell-assignment task

# Proof outline

- start with a minimal counterexample  $G$

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- first, use minimality of  $G$  to exclude some troublesome  $H$ 's
- run the following recoloring algorithm to construct  $H$

# Partitioned colorings

## Definition

Let  $G$  be a vertex critical graph. Let  $a \geq 1$  and  $r_1, \dots, r_a$  be such that  $1 + \sum_i r_i = \chi(G)$ . By a  $(r_1, \dots, r_a)$ -*partitioned coloring* of  $G$  we mean a proper coloring of  $G$  of the form

$$\{\{x\}, L_{11}, L_{12}, \dots, L_{1r_1}, L_{21}, L_{22}, \dots, L_{2r_2}, \dots, L_{a1}, L_{a2}, \dots, L_{ar_a}\}.$$

Here  $\{x\}$  is a singleton color class and each  $L_{ij}$  is a color class.

## Mozhan's Lemma

## Lemma (Mozhan 1983)

Let  $G$  be a vertex critical graph. Let  $a \geq 1$  and  $r_1, \dots, r_a$  be such that  $1 + \sum_i r_i = \chi(G)$ . Of all  $(r_1, \dots, r_a)$ -partitioned colorings of  $G$  pick one minimizing

$$\sum_{i=1}^a \left\| G \left[ \bigcup_{j=1}^{r_i} L_{ij} \right] \right\|.$$

Remember that  $\{x\}$  is a singleton color class in the coloring. Put  $U_i := \bigcup_{j=1}^{r_i} L_{ij}$  and let  $Z_i(x)$  be the component of  $x$  in  $G[\{x\} \cup U_i]$ . If  $d_{Z_i(x)}(x) = r_i$ , then  $Z_i(x)$  is complete if  $r_i \geq 3$  and  $Z_i(x)$  is an odd cycle if  $r_i = 2$ .

# The recoloring algorithm

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improvements

- take a  $(\lfloor \frac{\Delta-1}{2} \rfloor, \lceil \frac{\Delta-1}{2} \rceil)$ -partitioned coloring minimizing the above function

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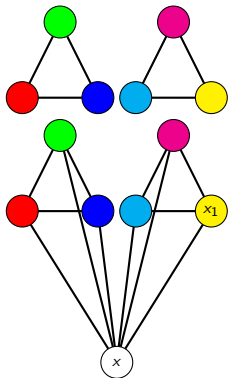
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- prove that we may assume that  $x$  is a low vertex
- by Mozhan's lemma, the neighborhood of  $x$  in each part induces a clique or an odd cycle

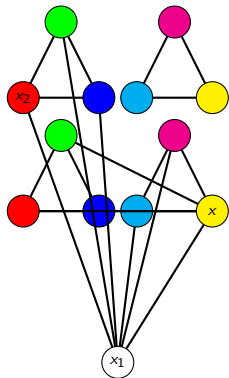


## The recoloring algorithm



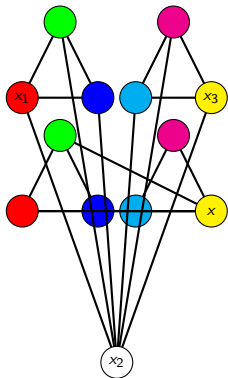
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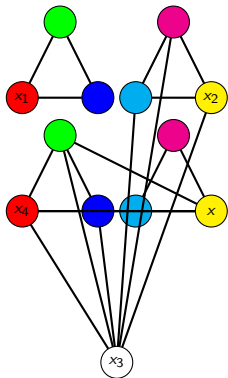
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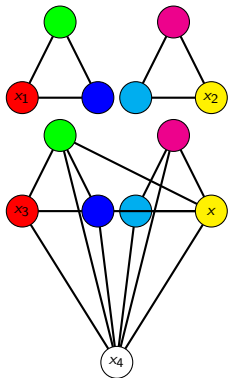
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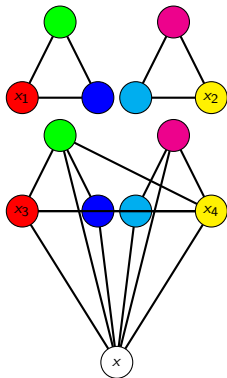
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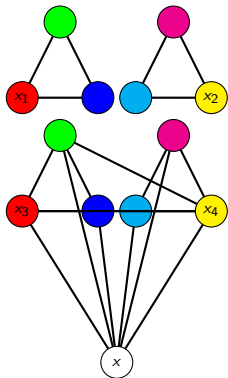
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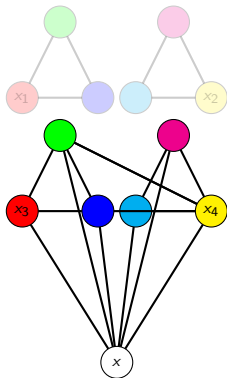
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- use the fact that you wrapped around to show that there are many edges between the two cliques

## The recoloring algorithm



- use the fact that you wrapped around to show that there are many edges between the two cliques
- we have now constructed the desired large “dense” subgraph



# Generalizing maximum degree

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## Definition

For  $0 \leq \epsilon \leq 1$ , define  $\Delta_\epsilon(G)$  as

$$\left\lceil \max_{xy \in E(G)} (1 - \epsilon) \min\{d(x), d(y)\} + \epsilon \max\{d(x), d(y)\} \right\rceil.$$

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Note that  $\Delta_1 = \Delta$ ,  $\Delta_{\frac{1}{2}} = \lfloor \frac{\theta}{2} \rfloor$ .

# The generalized bound

## Theorem (Rabern 2010)

*For every  $0 < \epsilon \leq 1$ , there exists  $t_\epsilon$  such that every graph with  $\Delta_\epsilon \geq t_\epsilon$  satisfies  $\chi \leq \max\{\omega, \Delta_\epsilon\}$ .*

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- best known general bounds,  $\frac{2}{\epsilon} + 1 \leq t_\epsilon \leq \frac{4}{\epsilon} + 2$



## The lower bound on $t_\epsilon$

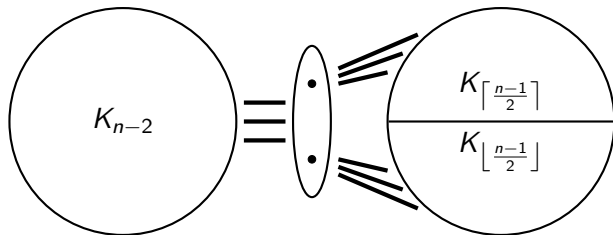


Figure: The graph  $O_n$ .

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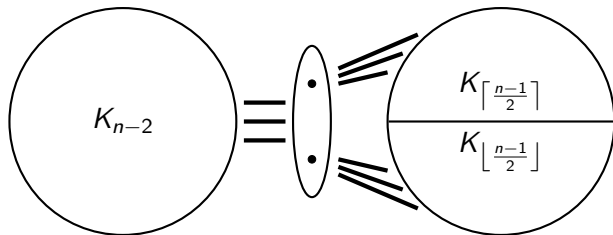


Figure: The graph  $O_n$ .

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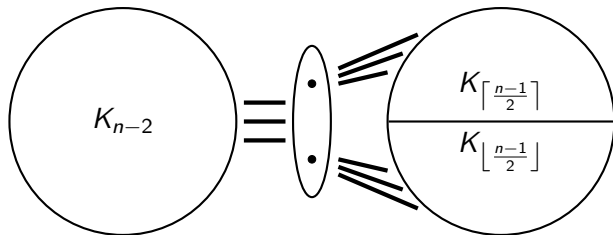


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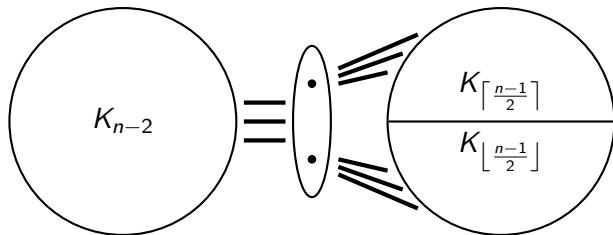


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- computing  $\Delta_\epsilon$  gives  $t_\epsilon \geq \frac{2}{\epsilon} + 1$

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### Definition (Stacho 2001)

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- greedy coloring (in any order) shows that every graph satisfies  $\chi \leq \Delta_0 + 1$
- for any fixed  $t \geq 3$ , the problem of determining whether or not  $\chi(G) \leq \Delta_0(G)$  for graphs with  $\Delta_0(G) = t$  is *NP*-complete (Stacho 2001)

# A tempting thought

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- there is a cute algorithmic way to see this assuming  $P \neq NP$
- we use Lovász's  $\vartheta$  parameter which can be approximated in polynomial time and has the property that  $\omega(G) \leq \vartheta(G) \leq \chi(G)$

# A polynomial-time algorithm

- assume the tempting thought holds for some  $t \geq 3$

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- we just gave a polynomial time algorithm to determine whether or not  $\chi \leq \Delta_0$  for graphs with  $\Delta_0 \geq t$
- this is impossible unless  $P=NP$

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# What we can prove about $\Delta_0$

## Theorem (Rabern 2010)

*Every graph with  $\Delta \geq 3$  satisfies*

$$\chi \leq \max \left\{ \omega, \Delta_0, \frac{5}{6}(\Delta + 1) \right\}.$$

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- actually, all the above results about  $\Delta_\epsilon$  follow from this result

In joint work with Kostochka and Stiebitz similar techniques were used to improve the bounds further. Highlights:

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Theorem (Kostochka, Rabern and Stiebitz 2010)

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Theorem (Kostochka, Rabern and Stiebitz 2010)

*Every graph satisfies*

$$\chi \leq \max \left\{ \omega, \Delta_0, \frac{3}{4}(\Delta + 2) \right\}.$$

## Conjecture

*Every graph satisfies*

$$\chi \leq \max \left\{ \omega, \Delta_0, \frac{2\Delta + 5}{3} \right\}.$$

The examples  $O_n$  above show that this would be tight.

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